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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Anthony *et al.*

Docket: TI-24953

Serial No.: 09/116,138

Art Unit: 2816

Filed: 07/15/98

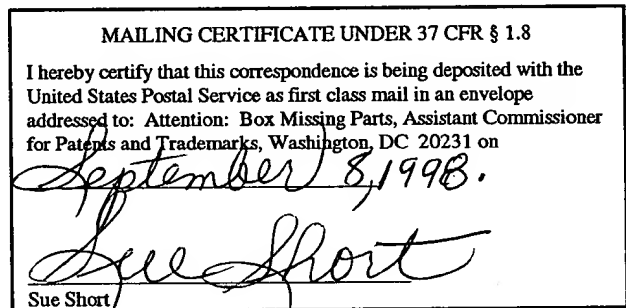
For: HIGH PERMITTIVITY SILICATE GATE DIELECTRIC

TRANSMITTAL OF DECLARATION UNDER 37 CFR 1.53(d)

September 8, 1998

Ass't Commissioner for Patents

Washington, DC 20231



Examiner:

In response to the Notice To File Missing Parts Of Application--Filing Date Granted dated August 14, 1998, enclosed is an executed Combined Declaration and Power of Attorney signed by the inventors John Mark Anthony, Scott R. Summerfelt, Robert M. Wallace and Glen D. Wilk. Also enclosed is a copy of this Notice.

Please charge the fee for late filing of the Declaration Under 37 CFR 1.16(e) and any other necessary fees to Deposit Account No. 20-0668.

Respectfully submitted,

David Denker
Agent for Applicants
Reg. No. 40,987

Texas Instruments Incorporated
P.O. Box 655474 MS 3999
Dallas, Texas 75265
(972) 917-4388
Fax: (972) 917-4418



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/116,138 | 07/15/98 | ANTHONY | J 11 24955 |

0262/0814

DAVID DENKER
TEXAS INSTRUMENTS INCORPORATED
P O BOX 655474
MS 3999
DALLAS TX 75265

ASN 10/14/98
DECL 10/14/98
NOT ASSIGNED

2816

08/14/98

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00 3,190.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37-CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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AUG 24 1998
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